

AMERGN.016C1

PATENT

## CHANGE IN ENTITY STATUS

Inventor : Lon E. Bell  
Patent No. : 6,223,539  
Issued : May 1, 2001  
App. No. : 09/428,018  
Filing Date : October 27, 1999  
Title : THERMOELECTRIC HEAT  
EXCHANGER

CERTIFICATE OF FAX TRANSMISSION  
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October 30, 2012

(Date)

Total number of pages including this sheet: 2

/Theodore G. Papagiannis/

Attorney, Reg. No. 61,546

Mail Stop Maintenance Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

11/01/2012 MBANGURA 00000127 111410 6223539  
01 FC:1599 2405.00 DA

Dear Sir:

The Patentee in the above-referenced application is no longer entitled to status as a small entity; any prior claim for small entity status under 37 CFR §1.27 is hereby withdrawn. Please update USPTO records accordingly.

Small entity maintenance fees were inadvertently paid electronically on June 5, 2008 (8-year maintenance fees) and October 26, 2012 (12-yr maintenance fees), for the above-referenced patent. Please charge the deficiency of \$3,585 (\$2,405 +\$1,180) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

Dated: October 30, 2012By: Theodore G. Papagiannis/

Theodore G. Papagiannis

Registration No. 61,546

Attorney of Record

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11/01/2012 MBANGURA 00000126 111410 6223539  
1720.00 DA

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PTO/SB/45 (03-09)

Approved for use through 03/31/2012. OMB 0851-0016

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Enclosed herewith is the payment of the maintenance fee(s) for the listed patent(s).

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2. ☐ Payment by credit card. Form PTO-2038 is enclosed.
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\* Information required by 37 CFR 1.366(c) (columns 1 &amp; 2). Information requested under 37 CFR 1.366(d) (columns 3, 4, &amp; 5).

Item	Patent Number* Column 1	U.S. Application Number* [e.g., 08/555,555] Column 2	Maintenance Fee Amount (37 CFR 1.20(e)-(g)) Column 3	Surcharge Amount (37 CFR 1.20(h)) Column 4	Payment Year (select one below) Column 5		
					3.5 yrs	7.5 yrs	11.5 yrs
1	6,223,539	09/428,018	\$4,810.00				X
2	6,223,539	09/428,018	\$2,360.00			X	
3							
4			-\$2,405.00	Pd 10/26/12			
5			-\$1,180.00	Pd 06/05/08			
Subtotals: Columns 3 & 4			\$3,585.00		<input type="checkbox"/> additional sheets attached for listing additional patents.		
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Respectfully submitted, \*\*

Customer's Signature /Theodore G. Papagiannis/Customer's Name Knobbe, Martens, Olson & Bear, LLPRegistration Number, if applicable: 61,546Telephone: (949) 760-0404Fax: (949) 760-9502

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Payment of small entity fee is appropriate if small entity status still exists, see 37 CFR 1.27(g). To establish small entity status or to change status from small to large entity, a written assertion is required. See 37 CFR 1.27 and 1.33(b).

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Knobbe Martens Olson & Bear LLP  
2040 MAIN STREET  
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IRVINE CA 92614

Paid June 5, 2008

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PATENT NUMBER	FEE AMT	SUR CHARGE	PYMT DATE	U.S. APPLICATION NUMBER	PATENT ISSUE DATE	APPL. FILING DATE	PAYMENT YEAR	SMALL ENTITY?	ATTY DKT NUMBER
6,223,539	\$1,180.00	\$0.00	06/05/08	09/428,018	05/01/01	10/27/99	08	YES	AMERGN.016C1

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PATENT NUMBER	FEE AMT	SUR CHARGE	PYMT DATE	U.S. APPLICATION NUMBER	PATENT ISSUE DATE	APPL. FILING DATE	PAYMENT YEAR	ENTITY STATUS	ATTY DKT NUMBER
6223539	\$2,405.00	\$0.00	10/26/12	09428018	05/01/01	10/27/99	12	SMALL	AMERGN.016C1